## Derandomization (I)

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# RANDOMIZED COMPUTATION

## Probabilistic Turing machines

#### Definition

A probabilistic Turing machine (PTM) is a Turing machine with two transition functions  $\delta_0$  and  $\delta_1$ . To execute a PTM  $\mathbb M$  on an input x, we choose in each step independently with probability 1/2 to apply the transition function  $\delta_0$  and with probability 1/2 to apply  $\delta$ .

The machine only outputs 1 (Accept) or 0 (Reject).  $\mathbb{M}(x)$  is the random variable corresponding to the value  $\mathbb{M}$  writes at the end of this process.

For a function  $T: \mathbb{N} \to \mathbb{N}$ , we say that  $\mathbb{M}$  runs in T(n)-time if for any input x,  $\mathbb{M}$  halts on x within T(|x|) steps regardless of the random choices it makes.

#### BPTIME and BPP

## Definition

For  $T: \mathbb{N} \to \mathbb{N}$  and  $L \subseteq \{0,1\}^*$ , a PTM  $\mathbb{M}$  decides L in time T(n), if for every  $x \in \{0,1\}^*$ , the machine  $\mathbb{M}$  halts in T(|x|) steps (i.e.,  $\mathbb{M}$  runs in T(n)-time), and

$$\Pr[\mathbb{M}(x) = L(x)] \ge \frac{2}{3},$$

where L(x) = 1 if  $x \in L$  and L(x) = 0 if  $x \notin L$ .

Then  $\mathbf{BPTIME}(T(n))$  is the class of languages decided by PTMs in O(T(n)) time and

$$\mathsf{BPP} := \bigcup_{d \in \mathbb{N}} \mathsf{BPTIME}(n^d).$$

## Theorem

 $P \subseteq BPP$ .

**Remark.** It is open whether  $BPP \subseteq NP$  or  $NP \subseteq BPP$ .

Conjecture

 $\mathbf{P} = \mathbf{BPP}$ .

## Theorem

 $L \in \mathsf{BPP}$  if and only if there exists a polynomial-time  $\mathsf{TM}\ \mathbb{M}$  and a polynomial  $p \in \mathbb{N}[X]$  such that for every  $x \in \{0,1\}^*$ ,

$$\Pr_{r \in \{0,1\}^{p(|x|)}} \left[ \mathbb{M}(x,r) = L(x) \right] \ge \frac{2}{3}.$$

## Polynomial identity testing

#### Definition

An *n*-variable algebraic circuit is a directed acyclic graph with the sources labeled by a variable name from the set  $x_1, \ldots, x_n$ , and each non-source node has in-degree two and is labeled by an operator from the set  $\{+, -, \times\}$ . There is a single sink in the graph, i.e., the *output* node.

#### Definition

 $\mathsf{ZEROP} = \big\{ \textit{C} \mid \textit{C} \text{ an algebraic circuit that always outputs zero} \big\}.$ 

## Why ZEROP looks difficult?

The polynomial

$$\prod_{i\in[n]}(1+x_i)$$

can be computed using a circuit of size  $2 \cdot n$  but has  $2^n$  terms in its coefficient representation.

## Schwartz-Zippel Lemma

#### Lemma

Let  $p(x_1, x_2, ..., x_n)$  be a polynomial of total degree at most d and S a finite set of integers. When  $a_1, a_2, ..., a_n$  are randomly chosen with replacement from S, then

$$\Pr\left[p(a_1,a_2,\ldots,a_n)\neq 0\right]\geq 1-\frac{d}{|S|}.$$

## A naive algorithm

A circuit of size m on n variables defines a polynomial of degree at most  $2^m$ .

- 1. Choose n random numbers  $x_1, \ldots, x_n$  from 1 to  $10 \cdot 2^m$  (this requires  $O(n \cdot m)$  random bits).
- 2. Evaluate the circuit C on  $x_1, \ldots, x_n$  to obtain an output y.
- 3. Accept if y = 0, and reject otherwise.

Problematic: intermediate values as large as

$$(10\cdot 2^m)^{2^m}.$$

## ZEROP ∈ **BPP**

- 1. Choose *n* random numbers  $x_1, \ldots, x_n$  from 1 to  $10 \cdot 2^m$ .
- 2. Choose a random number  $k \in [2^{2 \cdot m}]$  uniformly at random.
- 3. Evaluate the circuit C on  $x_1, \ldots, x_n$  modulo k to obtain an output y mod k where  $y = C(x_1, \ldots, x_n)$ .
- 4. Accept if  $y \mod k = 0$ , and reject otherwise.

## The correctness of the algorithm

Trivially  $\Pr[\mathbb{M} \text{ accepts } C] = 1$ , if C = 0. So assume  $C \neq 0$ , then we will show  $\Pr[\mathbb{M} \text{ rejects } C] \geq \delta,$ 

where  $\delta = 1/(4 \cdot m)$ .

Let  $S := \{p_1, \dots, p_\ell\}$  be the distinct prime factors of y. By the Prime Number Theorem,

$$\Pr_{k \in [2^{2 \cdot m}]} \left[ k \text{ is prime} \right] \ge \frac{1}{2 \cdot m} = 2 \cdot \delta.$$

y can have at most  $\log y \leq 5 \cdot m \cdot 2^m$  distinct factors,

$$\Pr[k \in S] \le \frac{5 \cdot m \cdot 2^m}{2^{2 \cdot m}} < \delta$$

Hence,  $\Pr\left[k \text{ does not divide } y\right] \geq \Pr[k \text{ is a prime not in } S] \geq 2 \cdot \delta - \delta = \delta.$ 

# Pseudorandom Generators

#### Definition

Let R be a distribution over  $\{0,1\}^m$ ,  $S \in \mathbb{N}$ , and  $\varepsilon > 0$ . Then R is an  $(S,\varepsilon)$ -pseudorandom distribution if for every circuit C of size at most S,

$$\Pr[\textit{C(R)} = 1] - \Pr[\textit{C(U_m)} = 1]| < \varepsilon,$$

where  $U_m$  is the uniform distribution over  $\{0,1\}^m$ .

Let  $S: \mathbb{N} \to \mathbb{N}$  be a function. A  $2^n$ -time computable function  $G: \{0,1\}^* \to \{0,1\}^*$  is an  $S(\ell)$ -pseudorandom generator if |G(z)| = S(|z|) for every  $z \in \{0,1\}^*$  and for every  $\ell \in \mathbb{N}$  the distribution  $G(U_\ell)$  is  $(S(\ell)^3, 1/10)$ -pseudorandom.

## Derandomize **BPP**

#### Theorem

If there exists a  $2^{\lceil \ell/a \rceil}$ -pseudorandom generator for some constant  $a \in \mathbb{N}$  then  $\mathsf{BPP} = \mathsf{P}.$ 

## Proof (1)

Let  $L \in \mathbf{BPP}$ . Assume that there is an algorithm  $\mathbb{A}$  that on input  $x \in \{0,1\}^n$  runs in time  $n^d = 2^{d \cdot a \cdot \log n/a}$  for some constant  $d \in \mathbb{N}$ , such that

$$\Pr_{r \in \{0,1\}^{n^d}} \left[ \mathbb{A}(x,r) = L(x) \right] \ge \frac{2}{3}.$$

Consider the *deterministic algorithm* B:

On input  $x \in \{0,1\}^n$ , go over all  $z \in \{0,1\}^{d \cdot a \cdot \log n}$ , compute  $\mathbb{A}(x,G(z))$  and output the majority answer.

 $\mathbb{B}$  runs in time  $2^{O(d \cdot a \cdot \log n)} = n^{O(1)}$ .

## Proof (2)

Claim: Let  $n \in \mathbb{N}$  and  $x \in \{0,1\}^n$ 

$$\Pr_{z \in \{0,1\}^{d \cdot a \cdot \log n}} \left[ \mathbb{A}(x, G(z)) = L(x) \right] \ge \frac{2}{3} - 0.1.$$

Assume otherwise, then

$$\Pr_{r \in \{0,1\}^{n^d}} \left[ \mathbb{A}(x,r) = L(x) \right] - \Pr_{z \in \{0,1\}^{d \cdot a \cdot \log n}} \left[ \mathbb{A}(x,G(z)) = L(x) \right] > 0.1.$$

Consider the circuit C defined by

$$C(r) \mapsto \mathbb{A}(x,r)$$
.

$$\begin{split} &\text{If } \mathit{L}(x) = 1 \text{, then } \Pr\left[\mathit{C}(\mathit{U}_{\mathit{n}^{\mathit{d}}}) = 1\right] - \Pr\left[\mathit{C}(\mathit{G}(\mathit{U}_{\mathit{d} \cdot a \cdot \log n})) = 1\right] > 0.1 \text{,} \\ &\text{If } \mathit{L}(x) = 0 \text{, then } \Pr\left[\mathit{C}(\mathit{G}(\mathit{U}_{\mathit{d} \cdot a \cdot \log n})) = 1\right] - \Pr\left[\mathit{C}(\mathit{U}_{\mathit{n}^{\mathit{d}}}) = 1\right] > 0.1 \text{.} \end{split}$$

