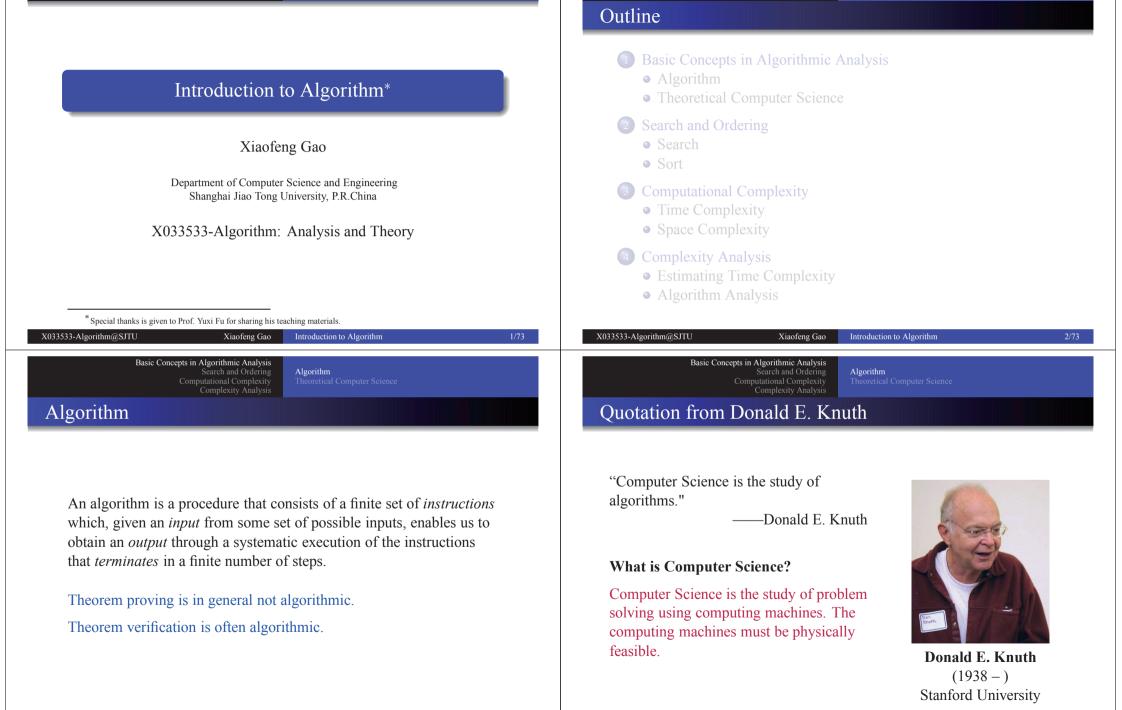
sic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis



Basic Concepts in Algorithmic Analysis Algorithm Search and Ordering Algorithm Computational Complexity Theoretical Computer Science Complexity Analysis Computer Science	Basic Concepts in Algorithmic Analysis Search and Ordering Search and Ordering Algorithm Computational Complexity Theoretical Computer Science
Remark on Algorithm	Algorithm vs. Program
The word 'algorithm' is derived from the name of Muhamma ibn Musa al-Khwarizmi (780?-850?), a Muslim mathematician whose works introduced Arabic numerals and algebraic concepts to Western mathematics. The word 'algebra' stems from the title of his book Kitab al jahr wa'l-muqabala". (American Heritage Dictionary)	A <i>program</i> is an implementation of an algorithm, or algorithms. A program does not necessarily terminate.
X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm 6/73 Basic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis Algorithm Theoretical Computer Science 6/73	X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm 7/73 Basic Concepts in Algorithmic Analysis Search and Ordering Complexity Complexity Analysis Search Sort Search Sort
What is Computer Science?	Linear Search, First Example of an Algorithm
 I. Theory of Computation is to understand the notion of computation in a formal framework. Some well known models are: the general recursive function model of Gödel and Church, Church's λ-calculus, Post system model, Turing machine model, RAM, etc. 	The problem to start with: Search and Ordering. Algorithm 1.1 LinearSearch Input: An array $A[1n]$ of <i>n</i> elements and an element <i>x</i> . Output: <i>j</i> if $x = A[j]$, $1 \le j \le n$, and 0 otherwise.
II. Computability Theory studies what problems can be solved by computers.III. Computational Complexity studies how much resource is necessary in order to solve a problem.	1. $j \leftarrow 1$ 2. while $j < n$ and $x \neq A[j]$ 3. $j \leftarrow j + 1$ 4. end while 5. if $x = A[j]$ then return j else return 0

IV. Theory of Algorithm studies how problems can be solved.

pts in Algorithmic Analysis Search and Ordering Search Computational Complexity Sort Complexity Analysis

Binary Search

Algorithm 1.2 BinarySearch

Input: An array A[1..n] of *n* elements sorted in nondecreasing order and an element *x*.

Output: *j* if x = A[j], $1 \le j \le n$, and 0 otherwise.

1. $low \leftarrow 1$; $high \leftarrow n$; $j \leftarrow 0$ 2. while $low \le high$ and j = 03. $mid \leftarrow \lfloor (low + high)/2 \rfloor$ 4. if x = A[mid] then $j \leftarrow mid$ break 5. else if x < A[mid] then $high \leftarrow mid - 1$ 6. else $low \leftarrow mid + 1$ 7. end while 8. return j

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The complexity of the algorithm is the number of comparison.

The number of comparison is maximum if $x \ge A[n]$.

The number of comparisons is the same as the number of iterations.

In the second iteration, the number of elements in A[mid + 1..n] is exactly $\lfloor n/2 \rfloor$.

In the *j*-th iteration, the number of elements in A[mid + 1..n] is exactly $\lfloor n/2^{j-1} \rfloor$.

The maximum number of iteration is the *j* such that $\lfloor n/2^{j-1} \rfloor = 1$, which is equivalent to $j - 1 \le \log n < j$.

Hence $j = \lfloor \log n \rfloor + 1$.

Search and Ordering
Computational Complexity
Complexity AnalysisSearch
SortAnalysis of BinarySearchSuppose $x \ge 35$. A run of BinarySearch on A[1..14] (see below) is

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12

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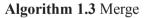
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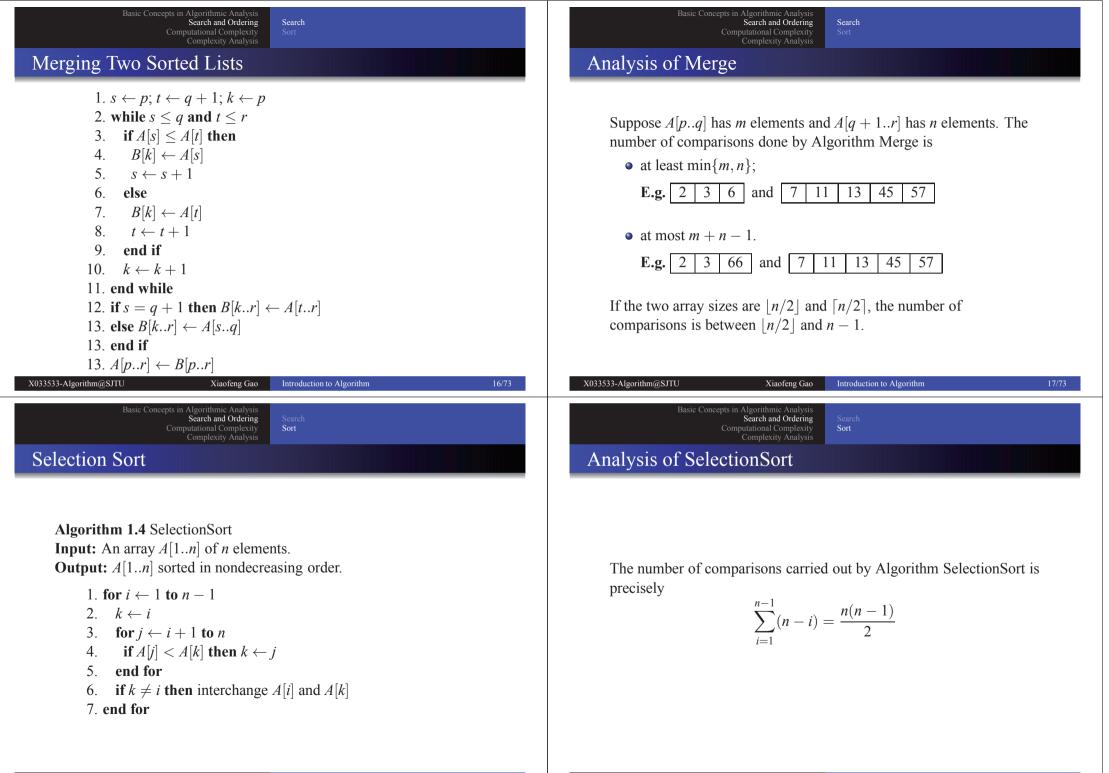
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15



4 5

Input: An array A[1..m] of elements and three indices p, q and r. with $1 \le p \le q < r \le m$, such that both the subarray A[p..q] and A[q+1..r] are sorted individually in nondecreasing order. **Output:** A[p..r] contains the result of merging the two subarrays A[p..q] and A[q+1..r]. **Comment:** B[p..r] is an auxiliary array



Search and Ordering Computational Complexity Complexity Analysis

Insertion Sort

Algorithm 1.5 InsertionSort Input: An array A[1..n] of *n* elements. Output: A[1..n] sorted in nondecreasing order.

> 1. for $i \leftarrow 2$ to n2. $x \leftarrow A[i]$ 3. $j \leftarrow i - 1$ 4. while j > 0 and A[j] > x5. $A[j+1] \leftarrow A[j]$ 6. $j \leftarrow j - 1$ 7. end while 8. $A[j+1] \leftarrow x$ 9. end for

Search and Ordering Computational Complexity Complexity Analysis

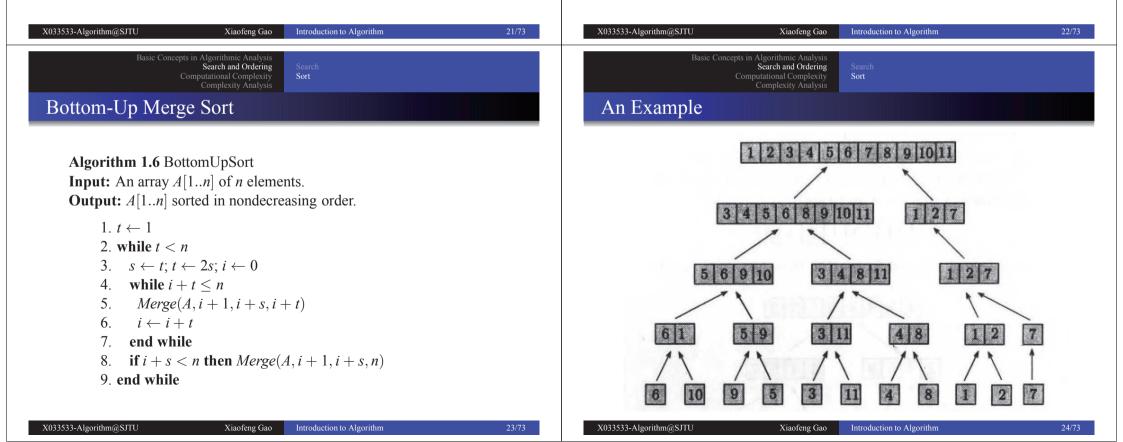
Analysis of InsertionSort

The number of comparisons carried out by Algorithm InsertionSort is at least

n – 1

and at most

$$\sum_{i=2}^{n} (i-1) = \frac{n(n-1)}{2}$$



Search and Ordering

Analysis of BottomUpSort

Suppose that *n* is a power of 2, say $n = 2^k$.

- The outer while loop is executed $k = \log n$ times.
- Step 8 is never invoked.
- In the *j*-th iteration of the outer while loop, there are $2^{k-j} = n/2^j$ pairs of arrays of size 2^{j-1} .

Sort

- The number of comparisons needed in the merge of two sorted arrays in the *j*-th iteration is at least 2^{j-1} and at most $2^j - 1$.
- The number of comparisons in BottomUpSort is at least

$$\sum_{j=1}^{k} (\frac{n}{2^{j}}) 2^{j-1} = \sum_{j=1}^{k} \frac{n}{2} = \frac{n \log n}{2}$$

• The number of comparisons in BottomUpSort is at most

$$\sum_{i=1}^{k} \left(\frac{n}{2^{j}}\right) (2^{j} - 1) = \sum_{i=1}^{k} \left(n - \frac{n}{2^{j}}\right) = n \log n - n + 1$$

Time Complexity

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Introduction to Algorithm

Computational Complexity

Xiaofeng Gao

Running Time

Running time of a program is determined by:

- input size
- quality of the code
- quality of the computer system
- time complexity of the algorithm

We are mostly concerned with the behavior of the algorithm under investigation on large input instances.

So we may talk about the rate of growth or the order of growth of the running time

Computational Complexity 'omplexity Ar

Time Complexity

Computational Complexity evolved from 1960's, flourished in 1970's and 1980's

Time Complexity

- Time is the most precious resource.
- Important to human.

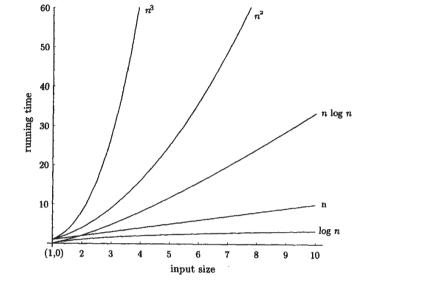
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Running Time vs	s Input Size		

n	$\log n$	n	$n \log n$	n^2	n^3	2^n
8	3 nsec	0.01 μ	0.02 µ	0.06 µ	0.51 µ	0.26 µ
16	4 nsec	0.02 µ	0.06 µ	0.26 µ	4.10 µ	65.5μ
32	5 nsec	0.03 µ	0.16 µ	1.02μ	32.7μ	4.29 sec
64	6 nsec	0.06 µ	0.38 µ	4.10 µ	262μ	5.85 cent
128	0.01 µ	0.13 µ	0.90 µ	16.38μ	0.01 sec	10 ²⁰ cent
256	0.01 µ	0.26 µ	2.05μ	65.54μ	0.02 sec	10 ⁵⁸ cent
512	0.01 µ	0.51μ	4.61 µ	262.14 µ	0.13 sec	10 ¹³⁵ cent
2048	0.01 µ	2.05μ	22.53 µ	0.01 sec	1.07 sec	10 ⁵⁹⁸ cent
4096	0.01 µ	4.10 µ	49.15 µ	0.02 sec	8.40 sec	10 ¹²¹⁴ cent
8192	0.01 µ	8.19 µ	106.50μ	0.07 sec	1.15 min	10 ²⁴⁴⁷ cent
16384	0.01 µ	16.38μ	229.38 µ	0.27 sec	1.22 hrs	104913 cent
32768	0.02 µ	32.77 µ	491.52 μ	1.07 sec	9.77 hrs	10 ⁹⁸⁴⁵ cent
65536	0.02 µ	65.54 µ	1048.6 µ	0.07 min	3.3 days	10 ¹⁹⁷⁰⁹ cent
131072	0.02 µ	131.07 µ	2228.2 µ	0.29 min	26 days	10 ³⁹⁴³⁸ cent
262144	0.02μ	262.14μ	4718.6 µ	1.15 min	7 mnths	10 ⁷⁸⁸⁹⁴ cent
524288	0.02 µ	524.29 µ	9961.5 µ	4.58 min	4.6 years	10 ¹⁵⁷⁸⁰⁸ cen
1048576	0.02 µ	1048.60 µ	20972 µ	18.3 min	37 years	10 ³¹⁵⁶³⁴ cent

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Time Complexity

Growth of Typical Functions



X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm Time Complexity Computational Complexity Computational Complexity Order of Growth

Our main concern is about the order of growth.

- Our estimates of time are relative rather than absolute.
- Our estimates of time are machine independent.
- Our estimates of time are about the behavior of the algorithm under investigation on large input instances.

So we are measuring the *asymptotic running time* of the algorithms.

Computational Complexity Complexity Analysi

Elementary Operation

Definition: We denote by an "elementary operation" any computational step whose cost is always upperbounded by a constant amount of time regardless of the input data or the algorithm used.

Time Complexity

Example:

- Arithmetic operations: addition, subtraction, multiplication and division
- Comparisons and logical operations
- Assignments, including assignments of pointers when, say, traversing a list or a tree

Time Complexity The O-Notation

The *O*-notation provides an *upper bound* of the running time; it may not be indicative of the actual running time of an algorithm.

Definition (O-Notation)

Let f(n) and g(n) be functions from the set of natural numbers to the set of nonnegative real numbers. f(n) is said to be O(g(n)), written f(n) = O(g(n)), if

$$\exists c. \exists n_0. \forall n \ge n_0. f(n) \le cg(n)$$

Intuitively, f grows no faster than some constant times g.

Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis

The Ω -Notation

The Ω -notation provides a *lower bound* of the running time; it may not be indicative of the actual running time of an algorithm.

Time Complexity

Definition (Ω -Notation)

Let f(n) and g(n) be functions from the set of natural numbers to the set of nonnegative real numbers. f(n) is said to be $\Omega(g(n))$, written $f(n) = \Omega(g(n))$, if

 $\exists c. \exists n_0. \forall n \ge n_0. f(n) \ge cg(n)$

Clearly f(n) = O(g(n)) if and only if $g(n) = \Omega(f(n))$.

Time Complexity Space Complexity

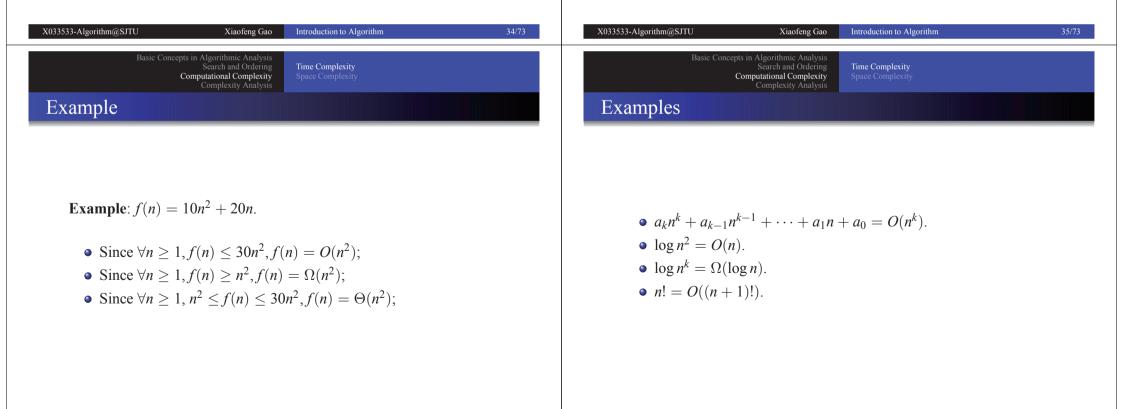
The Θ -Notation

The Θ -notation provides an exact picture of the growth rate of the running time of an algorithm.

Definition (Θ -Notation)

Let f(n) and g(n) be functions from the set of natural numbers to the set of nonnegative real numbers. f(n) is said to be $\Theta(g(n))$, written $f(n) = \Theta(g(n))$, if both f(n) = O(g(n)) and $f(n) = \Omega(g(n))$.

Clearly $f(n) = \Theta(g(n))$ if and only if $g(n) = \Theta(f(n))$.



Search and Ordening Computational Complexity Complexity Analysis

Examples

Consider the series $\sum_{j=1}^{n} \log j$. Clearly,

$$\sum_{j=1}^{n} \log j \le \sum_{j=1}^{n} \log n = n \log n. \text{ Thus } \sum_{j=1}^{n} \log j = O(n \log n)$$

On the other hand,

$$\sum_{j=1}^{n} \log j \ge \sum_{j=1}^{\lfloor n/2 \rfloor} \log(\frac{n}{2}) = \lfloor n/2 \rfloor \log(\frac{n}{2}) = \lfloor n/2 \rfloor \log n - \lfloor n/2 \rfloor$$

That is

$$\sum_{j=1}^{n} \log j = \Omega(n \log n)$$

Basic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis

Time Complexity Space Complexity

Examples

•
$$\log n! = \sum_{j=1}^{n} \log j = \Theta(n \log n)$$

• $2^n = O(n!)$. $(\log 2^n = n)$
• $n! = O(2^{n^2})$. $(\log 2^{n^2} = n^2)$

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The <i>o</i> -Notation		The ω -Notation	

Definition (o-Notation)

Let f(n) and g(n) be functions from the set of natural numbers to the set of nonnegative real numbers. f(n) is said to be o(g(n)), written f(n) = o(g(n)), if

 $\forall c. \exists n_0. \forall n \ge n_0. f(n) < cg(n)$

Definition (ω -Notation)

Let f(n) and g(n) be functions from the set of natural numbers to the set of nonnegative real numbers. f(n) is said to be $\omega(g(n))$, written $f(n) = \omega(g(n))$, if

$$\forall c. \exists n_0. \forall n \ge n_0. f(n) > cg(n)$$

Search and Ordering Computational Complexity Complexity Analysis

Time Complexity

Definition in Terms of Limits

- Suppose $\lim_{n \to \infty} f(n)/g(n)$ exists. • $\lim_{n \to \infty} \frac{f(n)}{g(n)} \neq \infty$ implies f(n) = O(g(n)). • $\lim_{n \to \infty} \frac{f(n)}{g(n)} \neq 0$ implies $f(n) = \Omega(g(n))$. • $\lim_{n \to \infty} \frac{f(n)}{g(n)} = c$ implies $f(n) = \Theta(g(n))$. • $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$ implies f(n) = o(g(n)).
 - $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$ implies $f(n) = \omega(g(n))$.

Comp

Time Complexity

A Helpful Analogy

- f(n) = O(g(n)) is similar to $f(n) \le g(n)$.
- f(n) = o(g(n)) is similar to f(n) < g(n).
- $f(n) = \Theta(g(n))$ is similar to f(n) = g(n).
- $f(n) = \Omega(g(n))$ is similar to $f(n) \ge g(n)$.
- $f(n) = \omega(g(n))$ is similar to f(n) > g(n).

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S			Space Complexit	y		

An equivalence relation \mathcal{R} on the set of complexity functions is defined as follows: $f\mathcal{R}g$ if and only if $f(n) = \Theta(g(n))$.

A complexity class is an equivalence class of \mathcal{R} .

The equivalence classes can be ordered by \prec defined as follows: $f \prec g \text{ iff } f(n) = o(g(n)).$

$$1 \prec \log \log n \prec \log n \prec \sqrt{n} \prec n^{\frac{3}{4}} \prec n \prec n \log n \prec n^2 \prec 2^n \prec n! \prec 2^{n^2}$$

The space complexity is defined to be the number of cells (*work space*)) needed to carry out an algorithm, *excluding the space allocated to hold the input*.

The exclusion of the input space is to make sense the sublinear space complexity.

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Complexity Classes

Computational Complexity

Space Complexity

Space Complexity

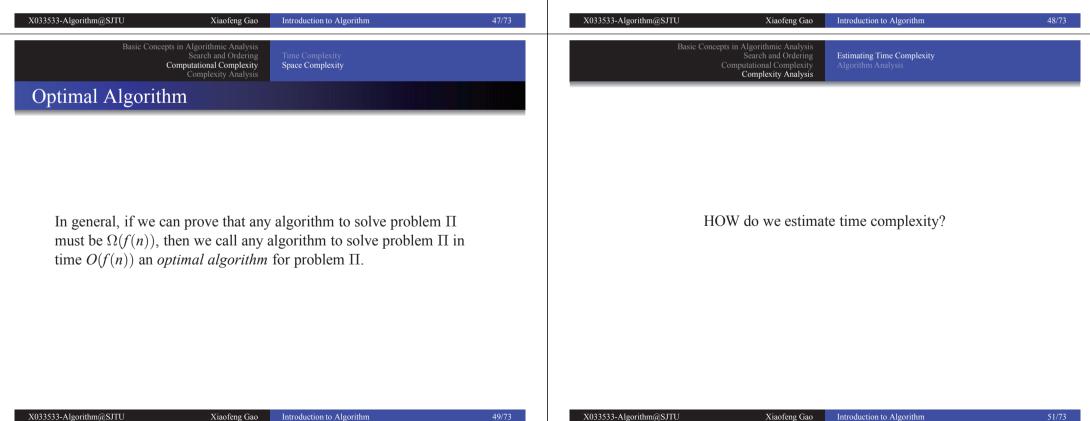
Space Complexity

Summary

Algorithm	Time Complexity	Space Complexity
LINEARSEARCH	O(n)	$\Theta(1)$
BINARYSEARCH	$O(\log n), \Omega(1)$	$\Theta(1)$
MERGE	$O(n), \Omega(n_1)$	$\Theta(n)$
SELECTIONSORT	$\Theta(n^2)$	$\Theta(1)$
INSERTIONSORT	$O(n^2), \Omega(n)$	$\Theta(1)$
BOTTOMUPSORT	$\Theta(n \log n)$	$\Theta(n)$

It is clear that the work space of an algorithm can not exceed the running time of the algorithm. That is S(n) = O(T(n)).

Trade-off between time complexity and space complexity.



Complexity Analysis

Estimating Time Complexity

Counting the Iterations

Algorithm 1.7 Count1 Algorithm 1.8 Count2 **Input:** $n = 2^k$, for some positive integer k. **Input:** A positive integer *n*. **Output:** *count* = number of times Step 5 is executed. **Output:** *count* = number of times Step 4 is executed. 1. *count* \leftarrow 0: 1. *count* \leftarrow 0: 2. while n > 12 for $i \leftarrow 1$ to n 3. for $i \leftarrow 1$ to n3. $m \leftarrow |n/i|$ 4. for $j \leftarrow 1$ to m 4. $count \leftarrow count + 1$ 5. end for 5. $count \leftarrow count + 1$ 6. $n \leftarrow n/2$ 6 end for 7. end while 7 end for 8. return count 8. return count while is executed k + 1 times; for is executed $n, n/2, \ldots, 1$ times $\sum_{k=0}^{k} \frac{n}{2^{j}} = n \sum_{k=0}^{k} \frac{1}{2^{j}} = n(2 - \frac{1}{2^{k}}) = 2n - 1 = \Theta(n)$ X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm Estimating Time Complexity Estimating Time Complexity Complexity Analysis Complexity Analysis Counting the Iterations Counting the Iterations Algorithm 1.9 Count3 **Input:** $n = 2^{2^k}$, k is a positive integer. **Output:** *count* = number of times Step 6 is executed. For each value of *i*, the **while** loop will be executed when $i = 2, 2^2, 2^4, \cdots, 2^{2^k}$. 1. *count* \leftarrow 0: 2. for $i \leftarrow 1$ to n That is, it will be executed when $i = 2^{2^0}, 2^{2^1}, 2^{2^2}, \cdots, 2^{2^k}$. 3. $i \leftarrow 2$; Thus, the number of iterations for while loop is $k + 1 = \log \log n + 1$ 4. while $j \le n$ for each iteration of **for** loop. 5. $j \leftarrow j^2$; 6. $count \leftarrow count + 1$ The total output is $n(\log \log n + 1) = \Theta(n \log \log n)$. end while 7. 8. end for 9. return count

Counting the Iterations

The inner for is executed n, |n/2|, |n/3|, ..., |n/n| times $\Theta(n\log n) = \sum_{i=1}^{n} \left(\frac{n}{i} - 1\right) \le \sum_{i=1}^{n} \lfloor \frac{n}{i} \rfloor \le \sum_{i=1}^{n} \frac{n}{i} = \Theta(n\log n)$ Sic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis

Estimating Time Complexity

Counting the Iterations

Algorithm 1.10 PSUM Input: $n = k^2$, k is a positive integer. Output: $\sum_{i=1}^{j} i$ for each perfect square *j* between 1 and *n*. 1. $k \leftarrow \sqrt{n}$; 2. for $j \leftarrow 1$ to k3. $sum[j] \leftarrow 0$; 4. for $i \leftarrow 1$ to j^2 5. $sum[j] \leftarrow sum[j] + i$; 6. end for 7. end for 8. return $sum[1 \cdots k]$ Basic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis

Estimating Time Complexity Algorithm Analysis

Counting the Iterations

Assume that \sqrt{n} can be computed in O(1) time.

The outer and inner for loop are executed $k = \sqrt{n}$ and j^2 times respectively.

Thus, the number of iterations for inner for loop is

$$\sum_{j=1}^{k} \sum_{i=1}^{j^2} 1 = \sum_{j=1}^{k} j^2 = \frac{k(k+1)(2k+1)}{6} = \Theta(k^3) = \Theta(n^{1.5}).$$

The total output is $\Theta(n^{1.5})$.

X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm 56/73 Basic Concepts in Algorithmic Analysis Search and Ordering Complexity Complexity Analysis Estimating Time Complexity Algorithm Analysis 56/73 Counting the Frequency of Basic Operations Image: Concept State of St	X033533-Algorithm@SJTU Xiaofeng Gao Introduction to Algorithm 57/73 Basic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis Estimating Time Complexity Algorithm Analysis Method of Choice Introduction to Algorithm 57/73
Definition An elementary operation in an algorithm is called a <i>basic operation</i> if it is of highest frequency to within a constant factor among all other elementary operations.	 When analyzing searching and sorting algorithms, we may choose the element comparison operation if it is an elementary operation. In matrix multiplication algorithms, we select the operation of scalar multiplication. In traversing a linked list, we may select the "operation" of setting or updating a pointer. In graph traversals, we may choose the "action" of visiting a node, and count the number of nodes visited.

asic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis

Estimating Time Complexity Algorithm Analysis

Master theorem

If

$$T(n) = aT(\lceil n/b \rceil) + O(n^d)$$

for some constants a > 0, b > 1, and $d \ge 0$, then

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a. \end{cases}$$

Estimating Time Complexity Algorithm Analysis

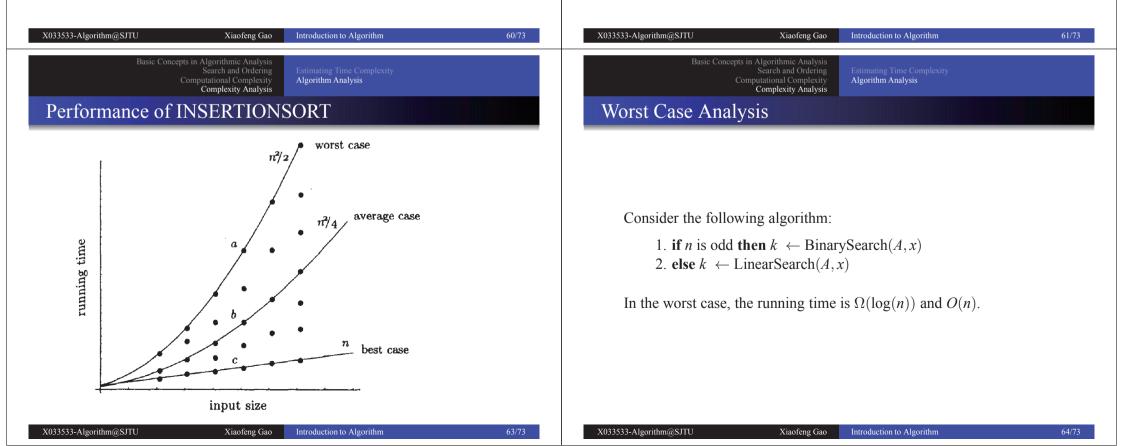
Analysis for MERGESORT

The recurrence relation:

$$T(n) = 2T(n/2) + O(n);$$

By Master Theorem

$$T(n) = O(n \log n).$$



Estimating Time Comp Algorithm Analysis

Average Case Analysis

Take Algorithm InsertionSort for instance. Two assumptions:

- *A*[1..*n*] contains the numbers 1 through *n*.
- All *n*! permutations are equally likely.

The number of comparisons for inserting element A[i] in its proper position, say *j*, is *on average* the following

$$\frac{i-1}{i} + \sum_{j=2}^{i} \frac{i-j+1}{i} = \frac{i-1}{i} + \sum_{j=1}^{i-1} \frac{j}{i} = \frac{i}{2} - \frac{1}{i} + \frac{1}{2}$$

The *average* number of comparisons performed by Algorithm InsertionSort is

$$\sum_{i=2}^{n} \left(\frac{i}{2} - \frac{1}{i} + \frac{1}{2}\right) = \frac{n^2}{4} + \frac{3n}{4} - \sum_{i=1}^{n} \frac{1}{i}$$

Search and Ordering Computational Complexity Complexity Analysis

Estimating Time Complexity Algorithm Analysis

Amortized Analysis

In amortized analysis, we average out the time taken by the operation throughout the execution of the algorithm, and refer to this average as the *amortized running time* of that operation.

Amortized analysis guarantees the average cost of the operation, and thus the algorithm, *in the worst case*.

This is to be contrasted with the average time analysis in which the average is taken over all instances of the same size. Moreover, unlike the average case analysis, no assumptions about the probability distribution of the input are needed.

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Amortized Analysis	A	n Example			
Consider the following algorithm: 1. for $j \leftarrow 1$ to n 2. $x \leftarrow A[j]$ 3. Append x to the list 4. if x is even then 5. while $pred(x)$ is odd do delete $pred(x)$ 6. end if 7. end for		(f) → [0] → [4] \to	91-8/	ין פּן פּן יין פּו יין פּראָ ק ין פּן יין פּ ויין (g)	

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Analysis

Worst Case Analysis: If no input numbers are even, or if all even numbers are at the beginning, then no elements are deleted, and hence each iteration of the **for** loop takes constant time. However, if the input has n - 1 odd integers followed by one even integer, then the number of deletions is n - 1, and the number of **while** loops is n - 1. The overall running time is $O(n^2)$.

Amortized Analysis: The total number of elementary operations of insertions and deletions is between *n* and 2n - 1. So the time complexity is $\Theta(n)$. It follows that the time used to delete each element is O(1) **amortized** time.

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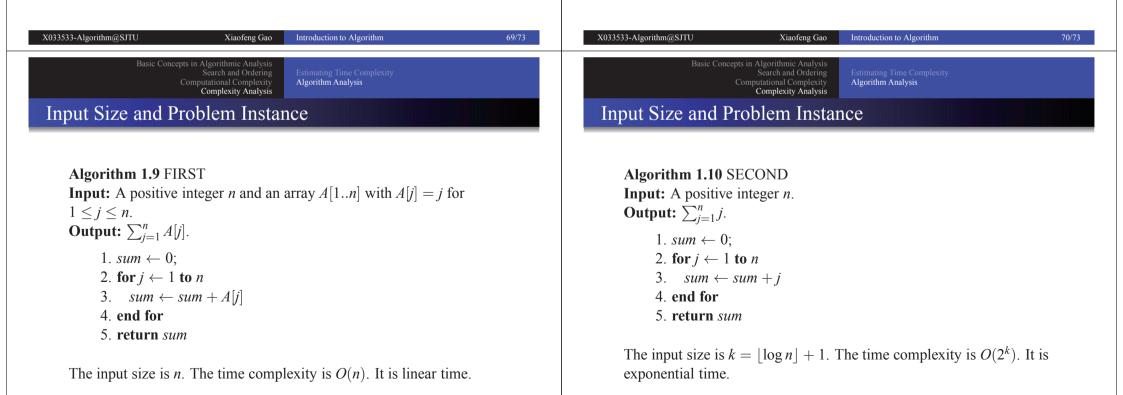
Estimating Time Complexity Algorithm Analysis

Input Size and Problem Instance

Suppose that the following integer

 $2^{1024} - 1$

is a legitimate input of an algorithm. What is the size of the input?



Basic Concepts in Algorithmic Analysis Search and Ordering Computational Complexity Complexity Analysis

Estimating Time Cor Algorithm Analysis

Commonly Used Measures

- In sorting and searching problems, we use the number of entries in the array or list as the input size.
- In graph algorithms, the input size usually refers to the number of vertices or edges in the graph, or both.
- In computational geometry, the size of input is usually expressed in terms of the number of points, vertices, edges, line segments, polygons, etc.
- In matrix operations, the input size is commonly taken to be the dimensions of the input matrices.
- In number theory algorithms and cryptography, the number of bits in the input is usually chosen to denote its length. The number of words used to represent a single number may also be chosen as well, as each word consists of a fixed number of bits.

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